RESEARCH ADVISORY BOARD (RAB)
March 9, 2021 from 8:45 – 10:00 a.m. by Zoom

AGENDA

Topic

A. RAB membership
   • Current roster; new member suggestions
   • Terms: length, limits, auto-renewal

B. 2021 Priorities
   • Suggestions to date
   • Qualtrics survey
   • Additional suggestions

C. Meeting Structure
   • Open forum
   • Senate Committee on Research
   • Working groups
   • Meeting schedule

D. Member Roundtable

E. Open Access (OA) Policy and ORCID
   Anneliese Taylor
   Head, Scholarly Communication
   UCSF Library

9:30 - 10:00am

RAB meetings are on the 2nd Tuesday of each month.
RESEARCH ADVISORY BOARD (RAB)
March 9, 2021 from 8:45 – 10:00 a.m. via Zoom

Notes

Attending: Jane Czech, Hal Collard, Mounira Kenaani, Ed Kuczynski, Georgina Lopez, Clarice Estrada, Matt Springer, Gretchen Kiser, Winona Ward, Julene Johnson, James Sorensen, Clarice Estrada, Christine Razler, Ellyn McCaffrey, Jon Rueter, Brian Smith; Irene Broderick (staff)

A. RAB membership: Current roster; new member suggestions; terms: length, limits, auto-renewal

Discussion:
- James Sorensen will soon retire and recommends Maria Toloushams from SFGH Department of Psychiatry.
- David Erle will cover Diane Havlir’s role (Dr. Havlir concurs)
- Winona Ward will cover all areas related to Office of Sponsored Research & Office of Clinical Trials Activation.
- Clarice Estrada will assume the role vacated by Suzanne Murphy.
- Consider soliciting a member from the UCSF Office of Research Task Force on Equity and Anti-Racism in Research
- Consider soliciting interest via ReSearch ReSource, Expresso, departments, or direct Office of Research email; clearly state the RAB’s purpose and service load. Ask interested individuals to identify issues they care about.
- Early career faculty may raise issues of which more experienced faculty are unaware.
- New members could be appointed to task forces if the roster grows too large.

ACTIONS:
- Update the roster now; contact new members as well as those who may rotate off.
- Christine Razler will provide 2 names to cover the Clinical Trialist role.
- Contact Joe Bengfort for recommendations on individuals who can speak to:
  - IT changes & their impact on research (IT, MyResearch, Research IT Infrastructure),
  - Enterprise Informatics (EIA) as part of IT Infrastructure, data sharing, security,
  - Policies & those on committees that look at the big picture and impact(s) on research(ers).
- Hal can provide perspectives of several committees (such as Clinical Trialist) as to impacts on research, for example.
- We (Co-Chairs) will put together a solicitation for membership.

A. 2021 Priorities: Suggestions to date; Qualtrics survey; Additional suggestions

Discussion: Potential speaker in ()
- Harness experiences from audits & use as them as training tools (i.e., audit readiness, record retention)
- Space metrics and planning: what is the current status?
- HRPP performance; administrative burden (even) for low risk studies
- PamDASH: Who owns it/ is knowledgeable on its use/ modification opportunities. Winona will lead this.
- Research analytics tools: Dimensions Research Analytics Tool (Leslie Yuan & Team)
- Award verification tool implementation (Clarice offered to provide updates)
- Audit work & requests: ultimate findings & issues (NSF audit, for example)
- Financial issues: such as external audits, costs
- Impact of upcoming IT security measures on research
- Non-faculty academic retention
- Campus support of core resources
- Campus committees list: those representing research, IT and space. Invite members of these committees for updates
- Record RAB meetings & post to the web? Specifically, informational presentations
- Adopt UCSF institutional policies regarding diversity
- Each member selects a topic and state why the RAB should discuss it, drill down, & sort thought it

ACTIONS:
- All: Submit topic suggestions now if you have them
- Winona will present updates on Pam DASH (part of Research Analytics topic)
- All: Decide what are the broad topic areas we want to cover immediately; these will inform membership decisions
- Work to put together a more formal and detailed RAB Charge
B. **Meeting Structure:** Open forum; Senate Committee on Research; Working groups; Meeting schedule
   • Allow participation via Open Forum? There are pros / cons to this. Think about this further.

C. **Member Roundtable**
   Deferred due to time constraints

D. **Open Access (OA) Policy** and **ORCID**
   Anneliese Taylor
   Open Access policies are governed by both the University of California, San Francisco (UCSF), and the University of California (UC). Developed in May 2012 & updated in July 2015, Senate Open Access policies cover UCSF & all senate faculty. The 2015 Presidential Open Access policy applies to all non-senate employees. If a publication agreement is signed after the applicable Open Access policy was issued, the Open Access policy pertains to the publication.

   There are two forms of Open Access:
   • Green: self-archiving; uses the author’s final (not pdf) version, and
   • Gold: materials published on a publisher’s platform, and payment is required.

   The University of California (UC) uses the Green form where payments are not required, and articles are self-archiving. The UC Open Access policy enables you to make materials available immediately after publication. Additionally, one may return to work on a publication at any time. The University of California retains a non-exclusive copyright license once an author signs a publication agreement. Some publishers (a small number) require authors to obtain a waiver & opt out of the Open Access policy (Nature is an example).

   eScholarship is the default platform where **non-senate** employees may deposit a final manuscript or journal; it is an open access platform (Gold form possible) where materials can be shared and published. Academic units may also post their approved work here. Search engines index eScholarship. Those non-Senate faculty who are not yet in the system will be added in the future; however, they can take advantage of eScholarship using an alternate login method. **Senate faculty** records are kept in the UC Publication Management System; the system generates a letter to faculty when it believes the individual authored or co-authored a paper. Upon logging into the system, faculty will be presented with a list of their recent publications for which they may claim (link to) authorship. Anneliese is available to assist with matching publications.

   ORCID discussion deferred due to time constraints.

   **ACTION:** Georgina will ask Anneliese if she has a recorded presentation on the library website, so we may link to it.

*Excerpted from PowerPoint; See PowerPoint for further detail*
UC’s Open Access Policies and ORCID

Presented to RAB Committee, March 9, 2021
Anneliese Taylor, UCSF Library
The University of California open access policies now cover more authors than any other institutional OA policy in the world.
Who?

May 2012: UCSF
July 2013: All Senate Faculty

October 2015: All Non-Senate UC employees

Senate OA Policies
Presidential OA Policy
What? “scholarly articles”

Not:
- books
- dissertations
- software

Senate OA Policies

Presidential OA Policy
Articles are covered when...

the publication agreement was signed after the policy was issued.

UCSF Senate: May 21, 2012

Systemwide Senate: July 24, 2013

Non-Senate employees: October 23, 2015
How? same journals, no new $
How? same journals, no new $
How? policy mechanism

Non-exclusive copyright license to UC, rights retained by UC when author signs publication agreement.
Where? worldwide
Dear Justin Gonder,

The University of California publication management system has recently located publications that appear to be authored or co-authored by you.

- **15** total journal articles are ready to be claimed as yours.
- **Of these, 2** publications are covered by the UCSF Open Access Policy and can be uploaded for public display in eScholarship.
- Papers published as of **May 21, 2012** are covered by the policy.
- Upload the final peer-reviewed manuscript for immediate public access. The publisher’s PDF is only allowed when specified by the publisher.

Click to verify your publications at: [https://oapolicy.universityofcalifornia.edu](https://oapolicy.universityofcalifornia.edu)
Publication management system for Senate faculty (and eventually all employees)

1. Click the link in the notification email and log in using your existing UCSF MyAccess credentials.
2. The dashboard tells you how many publications have been identified that need verification if they’re yours or not.
3. You’ll be presented with a list of your recent publications. **Use the checkmark icon to claim those you’ve authored**, or the X icon to reject any that aren’t yours.
4. If you claim an item that’s covered by the UC Open Access Policy, you’ll be prompted to upload a file or provide a link to an open access location.
5. Once your deposit is complete, you’ll be returned to your list of recent publications, in case you need to verify others. You will receive additional email notifications when we discover new publications authored by you.
The dashboard carousel also links to your claimed/Mine publications, where you can reach the deposit page for any publication by clicking the blue deposit icon.
Looking ahead: expanding the system

Publication management system now live for Senate faculty at all 10 campuses.

Addition of non-Senate employees is coming.
Non-senate employees: manual deposit in eScholarship

1. Log in with your existing eScholarship account to begin the deposit process; or
2. Create a new eScholarship account using your @ucsf.edu email address. System does not use single sign-on (MyAccess).

https://submit.escholarship.org/subi/login

Presidential OA Policy
Non-senate employees: manual deposit in eScholarship

3. Select Deposit Scholarly Articles for scholarly articles covered by the UC OA Policy.

https://submit.escholarship.org/subi/login

Presidential OA Policy
Non-senate employees: manual deposit

4. Enter a few details about your work. (Tip: Entering a DOI or PubMed ID will automatically complete the form for you)

5. Provide a file. You can upload your manuscript or, if your manuscript is already openly available, provide a link.

6. Specify how others may reuse your work, acknowledge the deposit agreement, then click Submit.
UCF Library Help Center » Scholarly Communication » Open Access Publishing

UCF Open Access Policies
November 17, 2020

Make Your Publications Freely Available to the Public

The UC open access (OA) policies allow you to share the final accepted version of your published scholarly articles with the world, without making a payment to the publisher. There are two main open access policies covering UCSF faculty and staff: the UCSF Senate Faculty OA Policy and the Presidential OA Policy for staff and non-Senate faculty. UCSF researchers who receive funding from RGPO and LBNL are also covered by those funders’ policies.

Authors may opt-out of the policy for an article per their discretion. A handful of publishers require a policy waiver letter. This letter only needs to be provided when explicitly requested by the publisher.

Who is Covered and Steps to Take

- UCSF Senate Faculty Open Access Policy
- Presidential Open Access Policy
- RGPO & LBNL/DOE Open Access Policies

Send questions to oapolicy@ucsf.edu
ORCID – Open Researcher & Contributor ID

Distinguish yourself in three easy steps

ORCID provides a persistent digital identifier (an ORCID iD) that you own and control, and that distinguishes you from every other researcher. You can connect your iD with your professional information — affiliations, grants, publications, peer review, and more. You can use your iD to share your information with other systems, ensuring you get recognition for all your contributions, saving you time and hassle, and reducing the risk of errors.

1. Create an ORCID account at https://orcid.org
2. Populate your account and use your iD to keep it continually updated
3. Share your iD with trusted organizations
Why use an ORCID?

From funding, to research, to publication, your ORCID ID can help you:

• Distinguish yourself from other researchers
• Ensure that you are accurately linked with your research, contributions, and affiliations, to get credit for your work
• Meet NIH’s ORCID requirement for research training, fellowship, research education, and career development awards
• Improve the visibility of your contributions
• Keep track of all of your affiliations and organizations in an interoperable, trustworthy platform throughout your career regardless of changes in name, institution, and discipline

https://orcid.ucsf.edu